

**DECLARATION FOR PATENT APPLICATION**

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name;

I believe I am the original, first and sole inventor (if only one name is listed below), or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

**Method for Identifying Alzheimer's Disease Therapeutics Using Transgenic Animal Models**

the specification of which (check one)

\_\_\_\_\_ is attached hereto

  x   was filed on June 7, 1996  
as application Serial No. 08/660,487

\_\_\_\_\_ and was amended on: \_\_\_\_\_  
(if applicable)

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations, § 1.56(a).

I hereby claim foreign priority benefits under Title 35, United States Code, § 119 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed.

Prior Foreign Application(s)	<u>Priority Claimed</u>		
_____	_____	_____	_____
(Number)	(Country)	(Day/Month/Year Filed)	
_____	_____	_____	_____
(Number)	(Country)	(Day/Month/Year Filed)	
_____	_____	_____	_____
(Number)	(Country)	(Day/Month/Year Filed)	

I hereby claim the benefit under Title 35, United States Code, § 120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of the application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, § 112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, § 1.56(a) which occurred between the filing date of the prior application and the national or PCT international filing date of this application:

Continuation-in-part of

<u>08/480,653</u>	<u>June 7, 1995</u>	<u>Pending</u>
(Application Serial No.)	(Filing Date)	Status
		(patented, pending, abandoned)
_____	_____	_____
(Application Serial No.)	(Filing Date)	Status
		(patented, pending, abandoned)

U.S.S.N. 08/660,487  
"Method for Identifying Alzheimer's  
Disease Therapeutics Using Transgenic  
Animal Models"  
Filed: June 7, 1996  
By: Kate Dora Games, et al.  
DECLARATION

As named inventor, I hereby appoint the following attorney(s) to prosecute this application and transact all business in the Patent and Trademark Office connected therewith.

Patrea L. Pabst	31,284
Madeline I. Johnston	36,174
Dolly A. Vance	39,054

Send Correspondence to: Patrea L. Pabst, Esq.  
ARNALL GOLDEN & GREGORY  
2700 One Atlantic Center  
1201 W. Peachtree Street  
Atlanta, GA 30309-3450

Direct telephone calls to: Patrea L. Pabst (404)873-8794

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Full name of sole or first inventor Kate Dora Games, Ph.D.

Inventor's signature Kate Dora Games Date 7.19.96

Residence 411 Central Ave., San Francisco, CA 94117 503 Alameda De Las Piedad

Citizenship United States Belmont, CA 94002

Post Office Address Same as above

Full name of second joint inventor (if any) Dale Bernard Schenk, Ph.D.

Inventor's signature Dale Bernard Schenk Date 7/18/96

Residence 605 Sharp Park Road, Pacifica, CA 94044 1542 Los Altos Dr., Burlingame

Citizenship United States 94010

Post Office Address Same as above

U.S.S.N. 08/660,487

"Method for Identifying Alzheimer's  
Disease Therapeutics Using Transgenic  
Animal Models"

Filed: June 7, 1996

By: Kate Dora Games, et al.

DECLARATION

Full name of third joint inventor (if any) Lisa Claire McConlogue

Inventor's signature *Lisa Claire McConlogue* Date 19 July 1996

Residence 283 Juanita Way, San Francisco, CA 94127

Citizenship United States

Post Office Address Same as above

Full name of fourth joint inventor (if any) Peter Andrew Seubert, Ph.D.

Inventor's signature *Peter Andrew Seubert* Date 7/18/96

Residence 222 Northwood Dr., S. San Francisco, CA 94080

Citizenship United States

Post Office Address Same as above

Full name of fifth joint inventor (if any) Russell E. Rydel, Ph.D.

Inventor's signature *Russell E. Rydel* Date 19 July 96

Residence 2130 Forest Avenue  
2211 Village Ct, #6, Belmont, CA 94002

Citizenship United States

Post Office Address Same as above



UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office

ASSISTANT SECRETARY AND COMMISSIONER  
OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

NOVEMBER 09, 1996

PTAS

PATREA L. PABST, ESQ.  
2800 ONE ATLANTIC CTR.  
1201 W. PEACHTREE STREET  
ATLANTA, GA 30309-3400



\*100265817A\*



UNITED STATES PATENT AND TRADEMARK OFFICE  
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, NORTH TOWER BUILDING, SUITE 10C35, WASHINGTON, D.C. 20231.

RECORDATION DATE: 08/19/1996

REEL/FRAME: 8115/0921  
NUMBER OF PAGES: 6

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

GAMES, KATE DORA

DOC DATE: 07/17/1996

ASSIGNOR:

SCHENK, DALE B.

DOC DATE: 07/19/1996

ASSIGNOR:

MCCONOGUE, LISA C.

DOC DATE: 07/19/1996

ASSIGNOR:

SEUBERT, PETER A.

DOC DATE: 07/18/1996

ASSIGNOR:

RYDEL, RUSSELL

DOC DATE: 07/19/1996

ASSIGNEE:

ATHENA NEUROSCIENCES, INC.  
800 GATEWAY BOULEVARD  
S. SAN FRANCISCO, CALIFORNIA 94080

RECEIVED

NOV 18 1996

PATENT DEPT.

8115/0921 PAGE 2

SERIAL NUMBER: 08660487  
PATENT NUMBER:

FILING DATE: 06/07/1996  
ISSUE DATE:

MAURICE CARTER, EXAMINER  
ASSIGNMENT DIVISION  
OFFICE OF PUBLIC RECORDS

RECORDATION FORM COVER  
PATENTS ON

09-09-1996

COMMERCIAL  
Trademark Office

Tab settings &lt;&lt;



100265817

A/E  
thereof.

To the Honorable Commissioner of Patents and Trademarks Please record

## 1. Name of conveying party(ies):

Kate Dora Games  
Dale B. Schenk  
Lisa C. McConogue  
Peter A. Seubert Russell RydelAdditional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

## 2. Name and address of receiving party(ies):

Name: Athena Neurosciences, Inc.

Internal Address: \_\_\_\_\_

Street Address: 800 Gateway Boulevard

City: S. San Francisco State: CA ZIP: 94080

Additional name(s) & address(es) attached? ☐ Yes ☒ No

## 3. Nature of conveyance:

- ☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☐ Other \_\_\_\_\_

Execution Date: 7/18/96 and 7/19/96

## 4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: \_\_\_\_\_

## A. Patent Application No.(s)

08/660,487

## B. Patent No.(s)

70611 U.S. PTO



09/06/96

Additional numbers attached? ☐ Yes ☒ No

## 5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Patrea L. Pabst, Esq.

Internal Address: 2800 One Atlantic Ctr.

Street Address: 1201 W. Peachtree St.

City: Atlanta State: GA ZIP: 30309-3400

6. Total number of applications and patents involved: 

## 7. Total fee (37 CFR 3.41): \$ 40.00

☒ Enclosed☐ Authorized to be charged to deposit account

## 8. Deposit account number:

01-2507

(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

## 9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Patrea L. Pabst

Name of Person Signing

Signature

Date

Total number of pages comprising cover sheet:

## ASSIGNMENT

We, Kate Dora Games of 411 Central Avenue, San Francisco, California 94117; Dale Bernard Schenk of 605 Sharp Park Road, Pacifica, California 94044; Lisa Claire McConlogue of 283 Juanita Way, San Francisco, California 94127; Peter Andrew Seubert of 222 Northwood Drive, South San Francisco, California 94080; and Russell E. Rydel of 2211 Village Court #6, Belmont, California 94002, in consideration of Ten Dollars and other valuable consideration paid to us by Athena Neurosciences, Inc., a corporation of Delaware having its principal place of business at 800 Gateway Boulevard, South San Francisco, California 94080, the receipt of which is hereby acknowledged, do hereby sell, assign and transfer unto said Athena Neurosciences, Inc., its successors and assigns, the entire interest for the United States of America and its territories and all foreign countries and jurisdictions, including all rights of priority under the International Convention for the Protection of Industrial Property in a certain invention or improvement in "Method for Identifying Alzheimer's Disease Therapeutics Using Transgenic Animal Models" described in U.S. Serial No. 08/660,487 filed on June 7, 1996, by Kate Dora Games, Dale Bernard Schenk, Lisa Claire McConlogue, Peter Andrew Seubert, and Russell E. Rydel, which is a continuation-in-part of U.S. Serial No. 08/480,653 entitled "Method for Identifying Alzheimer's Disease Therapeutics Using Transgenic Animal Models" filed on June 7, 1995 by Kate Dora Games, Dale Bernard Schenk, Lisa Claire McConlogue, Peter Andrew Seubert, and Russell E. Rydel in the United States Patent and Trademark Office, and in all Letters Patent of the United States and its territories and all foreign countries and jurisdictions which may or shall be granted on said invention, or any parts thereof, or on said application, or any divisional, continuation, continuation-in-part, renewals, reissue or other applications based in whole or in part thereon. And we agree, for ourselves and our executors and administrators, with said corporation and its successors and assigns but at its or their expense or charges, hereafter to execute all applications, amended specifications, deeds or other instruments, and to do all acts necessary or proper to secure the grant of Letters Patent in the United States and in all other countries to said corporation, with specifications and claims in such form as shall be approved by the counsel of said corporation and to vest and confirm in said corporation, its successors and assigns, the legal title to all such patents.

And we do hereby authorize and request the Commissioner of Patents and Trademarks of the United States to issue such Letters Patent as shall be granted upon said application or applications based thereon to said corporation, its successors and assigns.

WITNESS my hand and seal this 19<sup>th</sup> day of July, 1996.

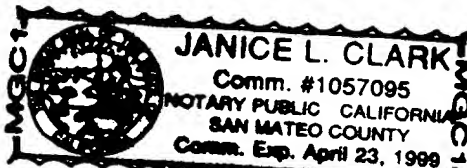
Kate Dora Games

Kate Dora Games

State of California  
County of

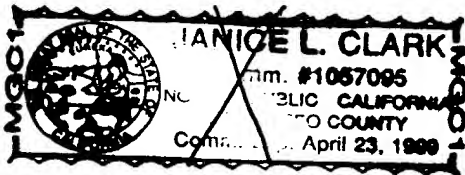
)  
ss.

Then personally appeared the above named Kate Dora Games and acknowledged the foregoing instrument to be her free act and deed, before me, this 19<sup>th</sup> day of July 1996.



Janice L. Clark  
Notary Public

WITNESS my hand and seal this 19<sup>th</sup> day of July, 1996.

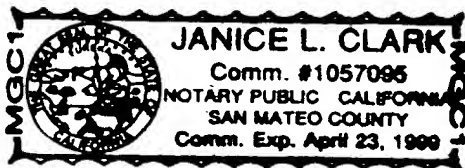


Dale Bernard Schenk  
Dale Bernard Schenk

State of California  
County of San Mateo

)  
ss.

Then personally appeared the above named Dale Bernard Schenk and acknowledged the foregoing instrument to be his free act and deed, before me, this 19<sup>th</sup> day of July 1996.



Janice L. Clark  
Notary Public



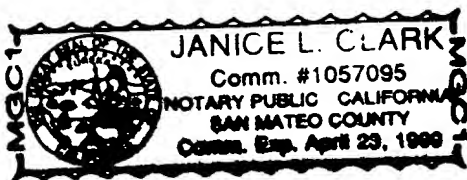
WITNESS my hand and seal this 19 day of July, 1996.

Lisa Claire McConlogue  
Lisa Claire McConlogue

State of California  
County of

)  
) ss.

Then personally appeared the above named Lisa Claire McConlogue and acknowledged the foregoing instrument to be her free act and deed, before me, this 19th day of July 1996.



Janice L. Clark  
Notary Public

U.S.S.N. 08/660,487

Filed June 7, 1996

ASSIGNMENT

WITNESS my hand and seal this 18<sup>th</sup> day of July, 1996.

Peter Andrew Seubert

Peter Andrew Seubert

State of California

County of San Mateo

)  
ss.

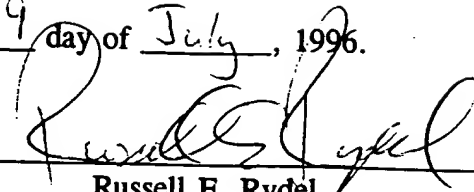
Then personally appeared the above named Peter Andrew Seubert and acknowledged the foregoing instrument to be his free act and deed, before me, this 18<sup>th</sup> day of July, 1996.



Janice L. Clark

Notary Public

WITNESS my hand and seal this 19 day of July, 1996.

  
\_\_\_\_\_  
Russell E. Rydel

State of California

County of San Mateo

) ss.

Then personally appeared the above named Russell E. Rydel and acknowledged the foregoing instrument to be his free act and deed, before me, this 19<sup>th</sup> day of July, 1996.



  
\_\_\_\_\_  
Notary Public